

01-21-04 Image RCE/1600

PTO/SB/30 (08-03)

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# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Address to:  
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P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	09/866,866
Filing Date	May 29, 2001
First Named Inventor	Brian Sorrentino
Art Unit	1632
Examiner Name	Qian Janice Li
Attorney Docket Number	1340-1-021CIP2 (SJ-0015)

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**  
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such

a. ☒ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

ii. ☐ Other \_\_\_\_\_

b. ☐ Enclosed

i. ☐ Amendment/Reply      iii. ☐ Information Disclosure Statement (IDS)

ii. ☐ Affidavit(s)/Declaration(s)      iv. ☐ Other \_\_\_\_\_

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

b. ☐ Other \_\_\_\_\_

3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-1619

i. ☒ RCE fee required under 37 CFR 1.17(e)      01/22/2004 SSESHE1 00000088 501619 09866866

ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)      01 FC:2801      385.00 DA

iii. ☐ Other \_\_\_\_\_

b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print / Type)	Jane Massey Licata	Registration No. (Attorney / Agent)	32,257
Signature	<i>Jane Massey Licata</i>	Date	January 20, 2004

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

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Date	

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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1840-1-021CIP2 (SJ-0015)

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- 1) General Transmittal Letter (2 copies);
- 2) Request for Continued Examination (RCE) Transmittal (2 copies);
- 3) Return Post Card; and
- 4) Authorization to charge deposit account in the amount of \$385.00.

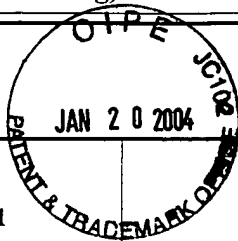
*Jane Massey Licata*

JANE MASSEY LICATA

**TRANSMITTAL LETTER  
(General - Patent Pending)**

Docket No.  
**1340-1-021CIP2 (SJ-0015)**

In Re Application Of: **Sorrentino and Schuetz**



Serial No.  
**09/866,866**

Filing Date  
**May 29, 2001**

Examiner  
**Qian J. Li**

Group Art Unit  
**1632**

Title: **METHOD OF IDENTIFYING AND/OR ISOLATING STEM CELLS AND PROGNOSING  
RESPONSIVENESS TO LEUKEMIA TREATMENT**

**TO THE COMMISSIONER FOR PATENTS:**

Transmitted herewith is:

**Request for Continued Examination (RCE) (Small Entity) and fee (\$385.00)**

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-1619**  
as described below.
- ☒ Charge the amount of **\$385.00**
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

*Jane Massey Licata*

Signature

Dated: **January 20, 2004**

**Jane Massey Licata  
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I certify that this document and fee is being deposited  
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